



Attorney's Docket No. 047516/273802

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	Leibowitz	Confirmation No.: 8216
Appl. No.:	10/821,118	Group Art Unit: 3624
Filed:	04/08/2004	Examiner: N/A
For:	FINANCIAL INSTRUMENT TRADING SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT	

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL CITATION UNDER 37 C.F.R. § 1.97**

Attached is a Supplemental Form PTO-1449 listing several documents that were first cited in the British Search Report for the corresponding British Application Number GB0507205.3 not more than three months prior to the filing of this Statement. In this regard, Applicant notes that the British Search Report was not received by any individual designated by 37 CFR 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

The Search Report is enclosed along with any cited foreign patent documents and non-patent literature documents in accordance with 37 CFR 1.98(a)(2).

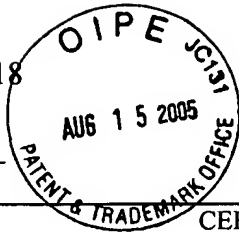
It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By identifying the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead identifying the listed documents for the sake of full disclosure.

Respectfully submitted,

  
Gregory J. Carlin  
Registration No. 45,607

**CUSTOMER NO. 00826**  
**ALSTON & BIRD LLP**  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Charlotte Office (704) 444-1000  
Fax Charlotte Office (704) 444-1111

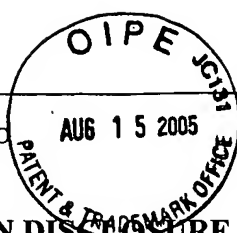
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 11, 2005

*Lisa L. Rone*  
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Lisa L. Rone



Substitute for form 1449/PTO  
(Revised 04/2003)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/821,118
Filing Date	04/08/2004
First Named Inventor	Leibowitz
Group Art Unit	3624
Examiner Name	N/A
Attorney Docket Number	047516/273802

### U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		US-			
		US-			

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
	24	WO 2003/012588	02/13/2003	Electronic Broking Services Limited		

### OTHER DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
	25	British Search Report for Application No. GB0507205.3; Date of Search July 4, 2005.	

Examiner  
Signature

Date  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.